

Form 604

Corporations Act 2001 Section 671B

Notice of change of interests of substantial holder

To Company Name/Scheme: Swick Mining Services Ltd

ACN/ARSN: 112 917 905

1. Details of substantial holder (1)

Name: Schroder Investment Management Australia Limited

ACN/ARSN (if applicable): 000 443 274

There was a change in the interests of the substantial holder on: 28/04/2017

The previous notice was given to the company on: 27/09/2013

The previous notice was dated: 27/09/2013

2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

| Class of securities (4) | Previous notice | | Present notice | |
|-------------------------|-----------------|------------------|----------------|------------------|
| | Person's votes | Voting power (5) | Person's votes | Voting power (5) |
| Ordinary Shares | 13,815,749 | 6.38% | 15,807,929 | 7.48% |

3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

| Date of change | Person whose relevant interest changed | Nature of change (6) | Consideration given in relation to change (7) | Class and number of securities affected | Person's votes affected |
|-------------------------|--|----------------------|---|---|-------------------------|
| 28/09/2013 - 28/04/2017 | SIMAL | Buy | \$913,447.45 | 4,582,130 Ordinary shares | 4,582,130 |
| 28/09/2013 - 28/04/2017 | SIMAL | Sell | \$308,193.04 | 1,066,878 Ordinary shares | 1,066,878 |

| | | | | | |
|----------------------------|-------|----------------------------|---|------------------------------|-----------|
| 28/09/2013 - 28/04/2017 | SIMAL | In species transfer out | - | 1,523,072 Ordinary shares | 1,523,072 |
|----------------------------|-------|----------------------------|---|------------------------------|-----------|

4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

| Holder of relevant interest | Registered holder of securities | Person entitled to be registered as holder (8) | Nature of relevant interest (6) | Class and number of securities | Person's votes |
|-----------------------------|---------------------------------|--|---------------------------------|--------------------------------|----------------|
| SIMAL | BNP Paribas Securities Services | BNP Paribas Securities Services | Power to dispose of securities | 12,938,885 Ordinary Shares | 12,938,885 |
| SIMAL | JP Morgan Custodial Services | JP Morgan Custodial Services | Power to dispose of securities | 2,869,044 Ordinary Shares | 2,869,044 |

5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

| Name and ACN/ARSN (if applicable) | Nature of association |
|--|-----------------------|
| Schroder Investment Management Australia Limited ("SIMAL") | Relevant Fund Manager |

6. Address

The address of persons named in this form are as follows:

| Name | Address |
|--|---|
| Schroder Investment Management (Australia) Limited ("SIMAL") | Level 20, Angel Place, 123 Pitt Street, Sydney, 2000, Australia |

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